

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, Colorado 80527-2400



2134 #3 sp
PATENT APPLICATION

ATTORNEY DOCKET NO. "10003536 -1"

01-13-04

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lu Xu et al

Application No.: 09/838759

Filing Date: Apr 19, 2001

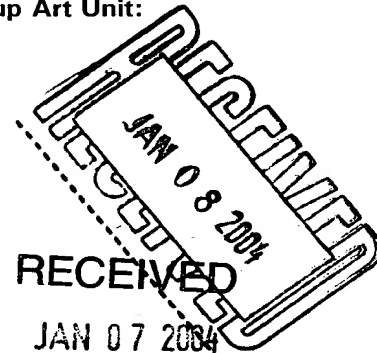
Title: Data Security For Distributed File Systems

Confirmation No.: 1330

Examiner:

Group Art Unit:

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450



STATEMENT UNDER 37 CFR 1.97(e)

Sir:

Technology Center 2100

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,
Lu Xu et al

By _____
Richard P. Lange
Attorney/Agent for Applicant(s)
Reg. No. 27296

Date:

Telephone No.: 650 857 2821

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lu Xu et al

Confirmation No.: 1330

Application No.: 09/838759

Examiner:

Filing Date: Apr 19, 2001

Group Art Unit:

Title: Data Security For Distributed File Systems

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RECEIVED

JAN 07 2004

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
(X) Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 12-31-03
OR

() I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
Number of pages: _____

Typed Name: Tiffany Turner

Signature: *Tiffany Turner*

Respectfully submitted,

Lu Xu et al

By *Richard P. Lange*

Richard P. Lange

Attorney/Agent for Applicant(s)
Reg. No. 27296

Date: 31 dec 03

Telephone No.: 650 857 2821

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10003536-1

APPLICATION NO.

09/838,759

CONFIRMATION NO.

APPLICANT

Xu, Lu, et al

FILING DATE

4/19/01

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	US5931947		burns, et al	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

RECEIVED

JAN 07 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	DE10032962A	3/15/01	Makherjee, et al		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Solomon D, A, The windows NT kernal Architechture, Computer IEEE computer society, Long Beach, CA, US, US, Vol. 31, No. 10, Oct 1998 (1998-10), pp 40-47, XP000859745, ISSN:0018-9162, page 42, column 1-3, line 1 - line 20
	1R	Thomas E. Anderson, et al, Serverless Network File Systems, Operating systems review (SIGOPS), acm headquarter. New York, US, vol. 29, No. 5, 12/1/95, pp 109-126, XP000584821
	1S	Garth Gibson, et al, "NASD: Network-attached Secure Disks", Online ! 12/14/1999, XP002254628

EXAMINER

DATE CONSIDERED